

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): S. Maddocks, J. Dicorpo, B. Torrey

Confirmation No.:

Application No.:

Examiner:

Filing Date:

Group Art Unit:

Title: Interface manager and Methods of Operation in a Storage Network

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97(c) together with either a:  
( ) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EV395541639US**

Date of Deposit **Jan. 14, 2004**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: **Hope A. Charles**

Respectfully submitted,

**S. Maddocks, J. Dicorpo, B. Torrey**

By Mark D. Trenner

**Mark D. Trenner**

Attorney/Agent for Applicant(s)

Reg. No. **43,961**

Date: **Jan. 14, 2004**

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200315416-1

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Steven Maddocks et al

FILING DATE

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,636,239	10-21-2003	Arquie et al	
	1B	6,421,723	07-16-2002	Tawil	
	1C	6,212,606	04-03-2001	Dimitroff	
	1D	6,199,112	03-06-2001	Wilson	
	1E	6,502,156	12-31-2002	Sacker et al	
	1F	5,664,146	09-02-1997	Bolin	
	1G				
	1H				
	1I				
	1J				
	1K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	"Increasing System Uptime and Lowering TCO with JNI's No-Reboot 5.3 Solaris Driver" JNI Corporation, 2003, 6 pages
	1R	"SANsurfer: Sanblade FC and HVA Configuration Applications for the Local Host" QLogic Corporation 2003 2 pages
	1S	Chapter 4, Troubleshooting, Quantum DX30 User's Guide, ver 4, rel. 0, Quantum Corporation, July 2003 pgs 91-96

EXAMINER

DATE CONSIDERED